Week 14 – Friday

Last time

- What did we talk about last time?
- Review up to Exam 2
 - Networking
 - Application
 - Transport
 - Internet
 - Link
 - Physical
 - Socket programming
 - Peer-to-peer networks
 - HTTP
 - TCP vs. UDP
 - Network security
 - CIA
 - Symmetric and public key cryptography
 - Cryptographic hash functions

Questions?

Assignment 8



Final exam format

- Final exam will be in this room:
 - Wednesday, April 30, 2025
 - 8:00 10:00 a.m.
 - 50% longer than previous exams, but you have 100% more time
- Mostly short answer questions
- One or two matching questions
- A couple of debugging questions
- A couple of programming questions

Threading

Threads and processes

- Many processes can run concurrently
 - Each one executes independently
 - Each process has its own memory layout
- Many threads can also run concurrently
 - Each one executes independently
 - Each thread has its own stack to keep track of its function calls
 - But all threads within a process share code, data, heap, and kernel segments
- Just as we used fork() to spawn new processes, there are libraries to spawn new threads within a process and coordinate them

Advantages of threads

- Using threads allows for more modular software since threads can call the same functions within a program
- Threads can be more efficient since there's no context switch needed for different threads to interact
- Some models of programming like GUIs depend on threads so that one unit of code needs can react to an action taken elsewhere
- Since threads share memory, there's no need for IPC libraries

Disadvantages of threads

- Threads are less isolated from each other than separate processes
- Consequences:
 - A thread crashing from a segmentation fault will kill the entire process, including the other threads
 - Bugs called race conditions occur, where the behavior of the program is different depending on which thread executed first

Race conditions

- Race conditions are a central problem with threads
- Thread scheduling is non-deterministic
 - It's often impossible to predict when the statements from one thread are going to be executed with respect to those in another thread
 - If the statements modify the same memory, the results can be inconsistent
- One of the most frustrating issues with race conditions is that they can occur rarely
 - This means that you can run your program 1,000 times with no problems, only to crash badly on time 1,001

Critical sections

- A critical section is a series of statements that must be executed atomically to get the right result
- Atomic execution means that all the statements happen as if they happened at once, without other statements from other threads interfering
- Even statements that look atomic like i++ are actually several different operations in assembly language

```
movq _globalvar(%rip), %rsi
addq $1, %rsi
movq %rsi, globalvar(%rip)
```

copy from memory into %rsi register
increment the value in the register
store the result back into memory

Incrementing variables

Consider two threads that share an int variable called global that is initially set to 0:

Thread A	Thread B
<pre>for (int i = 0; i < 200; ++i) ++global;</pre>	<pre>for (int j = 0; j < 300; ++j) ++global;</pre>

What are the largest and smallest values that global could have after these threads run to completion?

Thread safety

- Many functions are thread safe, meaning that they can be called by many threads at the same time and still give the right answers
- Other functions are not thread safe
 - Examples: rand() and strtok()
- The usual reason that functions are not thread safe is because they contain static local variables
- Because these variables are shared by all threads, they can become corrupted

POSIX Threads

POSIX threads

- Just as we could create a new process with fork(), there are libraries for making new threads
- POSIX threads (also called pthreads) are perhaps the most widely used thread library
 - Windows (of course) has its own threading library, though people have built POSIX-like libraries on top of it
- Key POSIX concepts
 - Creating a thread starts it running
 - A thread can exit, stopping its running
 - Joining a thread means waiting for a thread to finish (and potentially getting its result)
 - We keep track of processes with an ID of type pid_t, but we keep track of threads with an ID of type pthread_t

POSIX thread functions

Here are POSIX functions mapping to concepts from the previous slide

Create a new thread (not as bad as it looks)

void pthread_exit (void *value_ptr);

• Exit from the current thread (giving a pointer to the result, if any)

void pthread_join (pthread_t thread, void *value_ptr);

Join a thread (getting a pointer to its result, if any)

Creating a thread

 Creating a thread is the most complicated function, partly because it takes a function pointer and potentially arguments

- thread is a pointer to a pthread_t that will get filled in with the thread's ID
- attr is a pointer to possible thread attributes (often left NULL)
- start_routine is a pointer to a function that takes a void* and returns a void*
- **arg** is a pointer to arguments, **NULL** if no arguments needed

Simple threading example

```
#include <stdio.h>
#include <pthread.h> // POSIX thread library
#include <assert.h>
void *
start thread (void *args) // Function to start thread with
 printf ("Hello from thread!\n");
 pthread exit (NULL);
}
int
main (int argc, char **argv)
 pthread t child thread;
  // Create new thread with function start thread
 assert (pthread create (&child thread, NULL, start thread, NULL) == 0);
 pthread join (child thread, NULL); // Wait for other thread to finish
 pthread exit (NULL);
                                   // main() exits like any other thread
```

Common mistakes

Passing in a garbage pthread_t* instead of the address of a real pthread_t

pthread t *thread; // No!

 Calling the threading function (with parentheses) instead of passing a function pointer in

pthread_create (thread, NULL, start (), NULL); // No!

Joining with a pthread_t* instead of a pthread_t

pthread_join (thread, NULL); // No!

Passing arguments

- Passing arguments to threads is tricky
 - Passing addresses to objects on the stack is dangerous in case the function creating the threads returns
 - Passing pointers to the same object to multiple threads can cause problems if they fight over it
 - There are no timing guarantees over which thread will run when

A useful hack

- On most modern machines, a pointer is either 32 bits or 64 bits
- An int is usually 32 bits
- We can cast an int to a pointer and pass that to the thread
- The thread will then cast the pointer back to an int
- Since the size of an int is almost always less than a pointer, we don't lose any information
- It's icky, but it allows us to pass simple values like a char, short, or int
 - Both floating-point types are harder since they have to be tricked into behaving like integers (which pointers fundamentally are)
 - And double is risky since it needs a 64-bit pointer to hold it all

A thread function that uses a pointer like an int

```
void * child thread (void *args)
ł
  int value = (int) args; // Now, I pretend it's an int!
 printf ("I'm a thread with value: %d\n", value);
 pthread exit (NULL);
}
int main (int argc, char **argv)
{
 pthread t threads[10]; // Array to hold thread IDs
  // Start up those threads, pretending ints are pointers
  for (int i = 0; i < 10; i++)</pre>
   pthread create (&threads[i], NULL, child thread, (void*)i);
  for (int i = 0; i < 10; i++)
    pthread join(threads[i], NULL);
 pthread exit (NULL);
```

Passing multiple arguments to a thread

- To pass multiple arguments, they're often grouped in a struct
- Remember that threads all have their own stacks
- Thus, we need to pass in a struct that has been dynamically allocated on the heap (which is shared)
 - Also, any pointers that struct contains should point at memory that isn't on the stack

Multiple argument example

```
struct thread args
ł
  int value;
 const char* string;
};
int main (int argc, char **argv)
{
 pthread t thread;
  struct thread args* args = malloc(sizeof(struct thread args));
  args->value = 42;
  args->string = "wombat";
  // Thread casts void* to struct thread args* when it gets it
 pthread create (&thread, NULL, child thread, args);
 pthread join(thread, NULL);
 pthread exit (NULL);
```

Returning values from threads

- A common model for threads is for them to go and perform some work
- After the work is done, they need to give back the answer
- There are three ways to do this:
 - 1. Store the answer back into the dynamically allocated struct passed in for its arguments
 - Use the hack like before to return a "pointer" through the join that's actually an int
 - 3. Return a pointer through the join to a dynamically allocated struct containing the answer

Synchronization

Synchronization

- Now you have all the tools needed to create, run, and join threads
- But you don't have any tools to avoid the problem of race conditions
- Synchronization is used to coordinate between threads, often by enforcing critical sections, sections of code that only one thread can be executing at a time
- Common synchronization tools:
 - Locks (mutexes)
 - Semaphores
 - Barriers
 - Condition variables
- If used incorrectly, however, synchronization tools can lead to other problems such as deadlock and livelock

Examples of synchronization

- The following are common examples of synchronization:
 - Multiple threads share a data structure, but only one can write to it at a time
 - Only so many threads can access a shared resource to avoid slowdowns
 - Certain events need to happen in a certain order
 - Some calculations must be done before an action can be taken
- Performing synchronization so that the result is correct while avoiding performance penalties is challenging

Critical sections

- Recall that a critical section is a section of code that it's safe for only a single thread to be executing
- Often this is because non-atomic memory accesses (such as reading a value, doing calculations, and then writing back to memory) can get inconsistent results if more than one thread is executing them concurrently
- A common use of synchronization tools is to block threads trying to access a critical section if a thread is already executing it

Locks

- A key synchronization tool is called a lock (or a mutex, short for mutual exclusion)
- Critical sections can be protected by a lock
 - First code acquires the lock
 - Then it performs the code in the critical section
 - Then it releases the lock
- For POSIX threads, lock functionality is provided by several mutex functions that operate on pthread_mutex_t objects

Lock features

- Mutual exclusion
 - Locks start unlocked
 - Only one thread can acquire a lock at a time
 - No other thread can acquire a lock until it's been released
- Non-preemption
 - A lock must be voluntarily released by the thread that acquired it
- Atomic operations
 - Acquire and release are atomic operations
- Blocking acquires
 - If a thread tries to acquire a lock, it's blocked and added to the queue
 - When the thread holding the lock releases it, only one thread acquires it

POSIX mutex functions

Create a mutex with the specified attributes

int pthread_mutex_destroy (pthread_mutex_t *mutex);

Destroy an existing mutex

int pthread mutex lock (pthread mutex t *mutex);

Acquire a mutex, blocking until you succeed

int pthread_mutex_trylock (pthread_mutex_t *mutex);

• Try to acquire a mutex, returning non-zero if another thread has the mutex

int pthread_mutex_unlock (pthread_mutex_t *mutex);

Release the mutex

How long should critical sections be?

- Now that you have locks that you can use to protect a critical section, how should you use them?
- In general, you want critical sections to be short so that one thread won't block another unnecessarily
- Nevertheless, breaking up one section of code into several critical sections will introduce penalties because acquiring and releasing locks isn't free

Semaphores

- We mentioned semaphores in the context of synchronizing processes that shared memory
- We can use semaphores to synchronize threads as well
- Recall that we think of a semaphore as a non-negative integer that can be incremented and decrementing atomically
 - Calling sem_wait() (decrement) on a semaphore at 0 will block until another thread calls sem_post() (increment)

Semaphore functions

```
sem_t *sem_open (const char *name, int oflag,
/* mode_t mode, unsigned int value */ );
```

- Return (and possibly create) a named semaphore, using the usual oflag and mode flags
- **value** determines the initial value of the semaphore (often o)

int sem wait (sem t *sem);

Block if the semaphore's value is o, decrement after continuing

int sem post (sem t *sem);

Increment the semaphore's value, unblocking a process if the value is o

```
int sem_close (sem_t *sem);
```

Close a semaphore

int sem unlink (const char *name);

Delete a semaphore

Semaphores for signaling

- We can use semaphores to signal some event to another thread
- As in our earlier examples with semaphores, we initialize the semaphore to 0
 - The thread waiting for the event will call sem_wait() on the semaphore
 - The thread signaling that the event has happened will call sem_post()
 - The waiting thread will be awoken when the signaling thread posts
 - If the signaling thread posts before the waiting starts waiting, it won't have to wait

Mutual exclusion with semaphores

- It should be unsurprising that we can use semaphores instead of locks (POSIX mutexes)
- To do so, we initialize the semaphore to a value of 1
 - When entering a critical section, a thread waits on (downs) the semaphore
 - When leaving a critical section, the thread posts on (ups) the semaphore
- The first thread reaching the critical section is allowed in because the value is 1
- If we had initialized to **0**, no threads could enter the critical section

Semaphores as multiplexing

- Semaphores can also be used for multiplexing, in which a maximum number of threads are allowed to access a resource
- Consider a club where the bouncer only lets 100 people in
- This kind of synchronization is used less than signaling and mutexes, but it can be useful to prevent slowdown from too many threads using a resource
- Also, it can be used to prevent possible race conditions when there's a fixed number of items but the threads themselves have to select the one they want
 - No more than the maximum number of threads will be allowed to do selection

Semaphore summary

- Semaphores are a flexible tool that can be used for signaling, mutual exclusion, and multiplexing
- The key is the initial value of the semaphore
 - **0** for signaling
 - 1 for mutual exclusion
 - Greater than 1 for multiplexing
- Conceptually, the initial value of the semaphore is the maximum number of concurrent accesses

Barriers

- Sometimes a bunch of threads are working on a task that has phases
- We want to guarantee that all threads have finished Phase 1 before moving on to Phase 2
- To guarantee this, we can use **barriers**
- A barrier prevents threads from continuing unless k threads have reached it
 - It's common for k to be the total number of threads
 - Sometimes, however, the calculation is fine as long as at least k are done
- It's possible to do this kind of coordination with semaphores, but it's hard to get it exactly right

Barrier functions

int pthread_barrier_init (pthread_barrier_t *barrier, const
 pthread_barrierattr_t *attr, unsigned count);

 Create a barrier with the attributes given (often NULL) and the count of threads blocked

int pthread_barrier_destroy (pthread_barrier_t *barrier);

Free up the resources associated with a barrier

int pthread barrier wait (pthread barrier t *barrier);

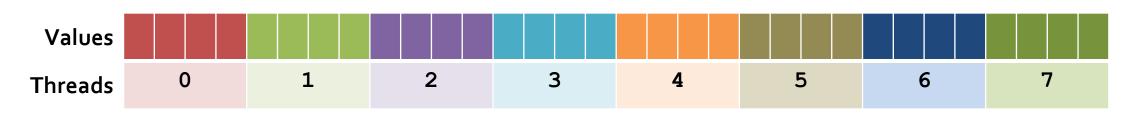
Wait on a barrier until enough threads reach it



- We can imagine a threaded merge sort that works in this way:
 - Each thread is assigned a section of the array to sort
 - Each thread uses merge sort to sort that part of the array
 - All threads wait on a barrier
- Then
 - Even numbered threads merge together their section with the neighboring section
 - Threads that are multiples of four merge together double sections with other double sections
 - Threads that are multiples of eight merge together quadruple sections with other quadruple sections

Threaded merge sort visualized

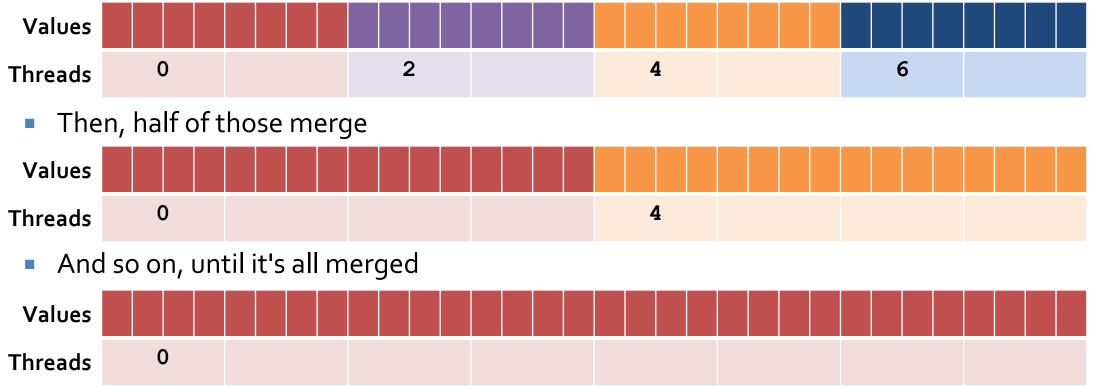
Each thread is assigned a section of an array and sorts it



- Since there's no overlap, each thread can work independently
- After sorting, all threads wait on a barrier to be sure that every thread has finished sorting

Final merging visualized

- Threads can't merge the same parts of the array without causing race conditions Half the threads merge with their neighbors



Weaknesses of semaphores

- Semaphores are very general purpose concurrency tool, but they have some weaknesses:
 - Semaphores take thought to use correctly: Incrementing and decrementing values don't map clearly to synchronization problems
 - Different implementations of semaphores have different features
 - Some systems (like macOS) don't have a full implementation of semaphores
 - Semaphores can only signal to one thread: no broadcasting
 - After getting a signal, threads have to take another step (like acquiring a lock) to get mutually exclusive access, time that can allow a race condition

Condition variables

- Condition variables try to overcome some weaknesses of semaphores by tying themselves directly to a lock
- They also have the ability to broadcast, waking up all waiting threads
- Like semaphores, they still have a function to wait and a function to signal
- However, something sneaky happens with wait:
 - First, the thread must acquire a lock
 - Then, it calls the wait function
 - If it has to wait, it releases the lock but then reacquires it when it gets woken up
 - All of which happens atomically
- This allows a thread to safely check a condition and wait until it gets signaled
- Think of a condition variable as a queue for waiting threads

Deadlock

Deadlock

- In order to avoid race conditions, we introduced several synchronization tools:
 - Locks (mutexes)
 - Semaphores
 - Barriers
 - Condition variables
- Each of these can be misused, failing to avoid race conditions
- Likewise, each introduces overhead, slowing the system down
- But an even worse possibility is **deadlock**

Deadlock

- Deadlock occurs when the use of synchronization primitives cause threads to get stuck so that they will never make progress again
 - A lock that never gets unlocked
 - A semaphore that never gets posted on
 - A barrier that is never reached by enough threads
 - A condition variable that is never signaled on
- Like many concurrency problems, deadlock can occur rarely or it can happen every time a program runs

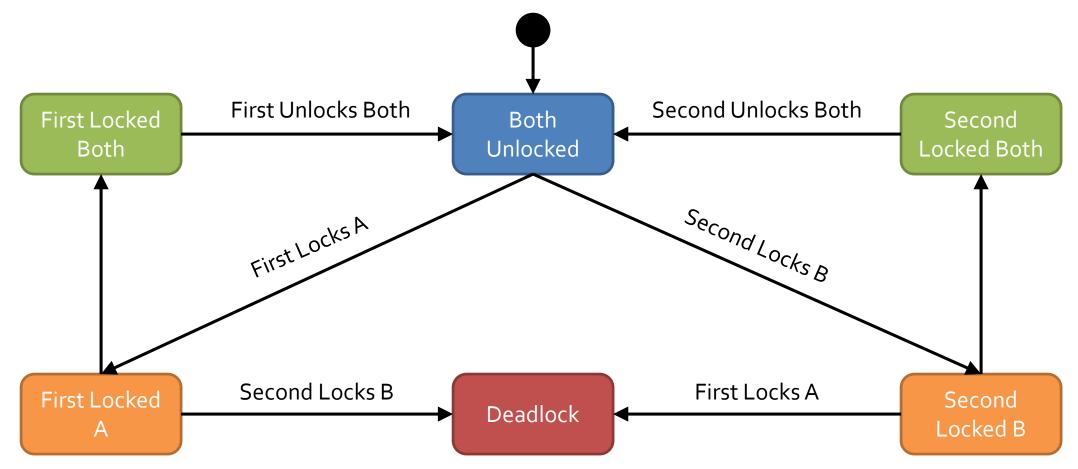
Deadlock example

In the following code, deadlock is possible

```
struct args {
 pthread mutex t lock a;
 pthread mutex t lock b;
};
void * first (void * args)
  struct args *data = (struct args *) args;
 pthread mutex lock (&data->lock a); // Lock A
 pthread mutex lock (&data->lock b); // Then lock B
  // More code (that would eventually unlock A and B)
}
void * second (void * args)
  struct args *data = (struct args *) args;
 pthread mutex lock (&data->lock b); // Lock B
 pthread mutex lock (&data->lock a); // Then lock A
  // More code (that would eventually unlock A and B)
```

Possible states

• The following state diagram shows the states the threads can be in:



Why does this happen?

- The two threads try to acquire locks in different orders:
 - First tries to get lock A followed by lock B
 - Second tries to get lock B followed by lock A
- If they tried to get the locks in the same order, we would never have this problem
- Even so, real situations are more complex
- Threads might need to acquire a number of locks for a number of resources
- The order might be hard to predict ahead of time

Necessary conditions

- Four conditions are needed for deadlock to be possible:
 - 1. Mutual exclusion: Once a resource has been acquired, no other thread gets it
 - 2. No preemption: Threads can't be made to give up their resources
 - 3. Hold and wait: Threads can get one resource and keep it while trying to get others
 - 4. Circular wait: Thread A needs a resource held by Thread B, and Thread B needs a resource held by Thread A (or extend to a chain of threads)
- Conditions 1 through 3 are unavoidable, so solutions often focus on avoiding circular wait



Livelock

- Livelock is similar to deadlock
- It's a condition where, due to bad timing, processes continue executing code, but they never make progress beyond a certain point
 - They're acquiring resources, giving them up, and acquiring them again, but still blocking each other
- If the system is set up in a certain way or is very unlucky, livelock could continue indefinitely
- Livelock can also sometimes resolve

Avoiding deadlock

- As mentioned before, we usually concentrate on the circular wait condition of deadlock:
 - Order the resources and always acquire them in the same order
 - Use timed or non-blocking versions of functions that acquire resources, potentially causing livelock
 - Limit the number of threads that can access the resources, insuring that there's always enough resources to go around

Synchronization Design Patterns

Signaling

Signaling is a design pattern we've already discussed

- One thread needs to wait until a event has occurred
- A second thread signals the first
- POSIX thread implementation:
 - Initialize a semaphore to 0
 - Have the first thread call sem_wait() on the semaphore when it needs to wait
 - Have a second thread call sem_post() when the event has occurred
- Because semaphores have an integer value, the scheduling of the threads doesn't matter
 - If the second thread has already signaled, the first thread will immediately return from sem_wait()

Turnstiles

- Unlike signaling, which unblocks a *single* thread, the **turnstile** design pattern is used to unblock *many* threads when an event occurs
- POSIX implementation:
 - Initialize a semaphore to 0
 - Have a thread call sem_post() on the semaphore when the event occurs
 - All threads that need to wait call sem_wait() followed by sem_post()
 - Each thread waking up will wake up one more
- Turnstiles work similarly to the broadcast function for condition variables
 - But broadcasts will only wake up those threads that are currently waiting
 - Turnstiles let all threads pass through, even if they reach the sem_wait() after the event has already happened

Rendezvous

- In the rendezvous pattern, two threads signal that they have both reached a specific point in execution
- POSIX implementation:
 - Initialize semaphore A and semaphore B to 0
 - Thread 1 calls sem_post() on semaphore A and sem_wait() on semaphore B
 - Thread 2 calls sem_post() on semaphore B and sem_wait() on semaphore A
- Each thread will only get blocked until the other one signals
 - Order matters! Flip the waits with the posts and you'll have deadlock
- For larger numbers of threads, using a barrier might be a better approach

Multiplexing

- **Multiplexing** is another design pattern we've already mentioned
- Multiplexing is useful when mutual exclusion is more restrictive than you need, but you still want to limit the total number of threads able to execute a section of code
- POSIX implementation:
 - Initialize a semaphore to n, where n is the maximum number of concurrent accesses you want to allow
 - Each thread calls sem_wait() on the semaphore before executing the code
 - Each thread calls sem_post() on the semaphore after executing the code
- This design pattern can be useful when spawning threads on a server to handle requests
 - We want to prevent too many threads from being created in order to avoid bogging down the server

Lightswitches

- We sometimes want to allow multiple threads of a certain kind to run code concurrently but force others to use mutual exclusion
 - Many threads that only read memory, for example, could access the memory at the same time
 - But only one thread that writes memory should be allowed in
- The **lightswitch** design pattern allows this kind of access
 - The name comes from the idea that the first person into a room turns on a lightswitch and the last person turns it off
- POSIX implementation:
 - Initialize a semaphore to 1
 - Initialize a counter variable to 0
 - Create a lock
 - Whenever a reader thread wants to read:
 - It acquires the lock
 - Increments the counter
 - If the counter is 1, call sem_wait() on the semaphore
 - Unlock the lock
 - Whenever a reader thread is done reading:
 - It acquires the lock
 - It decrements the counter
 - If the counter is 0, it calls sem_post() on the semaphore
 - Unlock the lock
 - Writers simply call sem_wait() to start writing and sem_post() when done

Producer-Consumer

Producer-consumer

- The producer-consumer problem comes up all the time in concurrent systems
 - One or more threads is producing elements that go into a buffer
 - One or more threads is consuming elements from the buffer
- A producer can't put an item into a full buffer and must block
- A consumer can't remove an item from an empty buffer and must block
- Example:
 - An OS thread is putting data into a buffer that's coming across the network
 - A user thread is trying to read data out of that buffer

Unsafe producer-consumer with a bounded queue

- Our implementation uses a circular array (that wraps back around to the beginning)
- The following code is unsafe for two reasons:
 - It doesn't check to see if the buffer is full when enqueuing or empty when dequeuing
 - Changing queue data is **unsafe** for a multi-threaded application

```
void enqueue_unsafe (queue_t *queue, data_t *data)
{
    // Store the data in the array and advance the index
    queue->contents[queue->back++] = data;
    queue->back %= queue->capacity;
}
data_t * dequeue_unsafe (queue_t *queue)
{
    data_t * data = queue->contents[queue->front++];
    queue->front %= queue->capacity;
    return data;
}
```

Safe producer-consumer with a bounded queue and a single producer and consumer

- We could use locks and check a variable giving the total number of elements in the queue
- However, semaphores have this feature built in
- We initialize the **space** semaphore to the maximum size of the queue
- We initialize the items semaphore to 0

```
void enqueue (queue_t *queue, data_t *data, sem_t *space, sem_t *items)
{
   sem_wait (space);
   enqueue_unsafe (queue, data);
   sem_post (items);
}
data_t * dequeue (queue_t * queue, sem_t *space, sem_t *items)
{
   sem_wait (items);
   data_t * data = dequeue_unsafe (queue);
   sem_post (space);
   return data;
}
```

Safe producer-consumer with a bounded queue and multiple producers and consumers

- Unfortunately, the two semaphores aren't enough when there are multiple producers and consumers
- In that situation, two producers could both be calling enqueue_unsafe(), potentially causing a race condition with the increment
- The solution is to one lock for producers and one lock for consumers
- We could use a single lock for both, but using two locks allows producers and consumers to modify the queue concurrently yet safely

```
void enqueue (queue_t *queue, data_t *data, sem_t *space, sem_t *items, pthread_mutex_t *producer_lock)
{
   sem_wait (space);
   pthread_mutex_lock (producer_lock);
   enqueue_unsafe (queue, data);
   pthread_mutex_unlock (producer_lock);
   sem_post (items);
}

data_t * dequeue (queue_t * queue, sem_t *space, sem_t *items, pthread_mutex_t *consumer_lock)
{
   sem_wait (items);
   pthread_mutex_lock (consumer_lock);
   data_t * data = dequeue_unsafe (queue);
   pthread_mutex_unlock (consumer_lock);
   sem_post (space);
   return data;
}
```

Readers-Writers

Readers-Writers

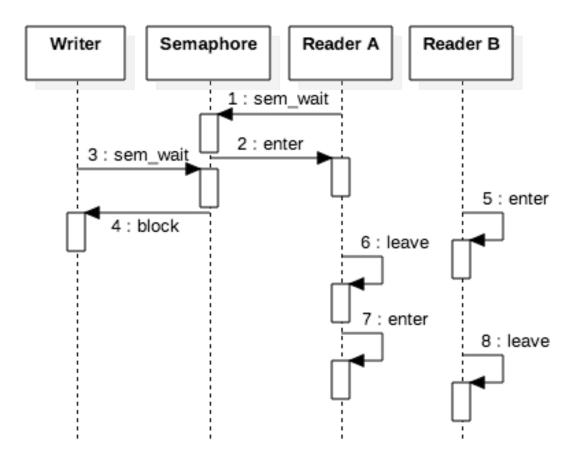
- What if we have a situation where we want to allow an unlimited number of reader threads to read data?
- But if a single writer needs to write, no other threads can access the data
- Changing the data can cause race conditions, but merely reading it concurrently is fine
 - And can make reading much faster!

First solution: Lightswitches

- This is exactly the scenario we solved with lightswitches:
 - Initialize a semaphore to 1
 - Initialize a counter variable to 0
 - Create a lock
 - Whenever a reader thread wants to read:
 - It acquires the lock
 - Increments the counter
 - If the counter is 1, call sem_wait() on the semaphore
 - Unlock the lock
 - Whenever a reader thread is done reading:
 - It acquires the lock
 - It decrements the counter
 - If the counter is 0, it calls sem_post() on the semaphore
 - Unlock the lock
 - Writers call sem_wait() to start writing and sem_post() when done

What's the problem with this solution?

- When a reader comes into the room, it becomes blocked for writers
- If more readers come in before others leave, writers might *never* get to enter
- What do we do?

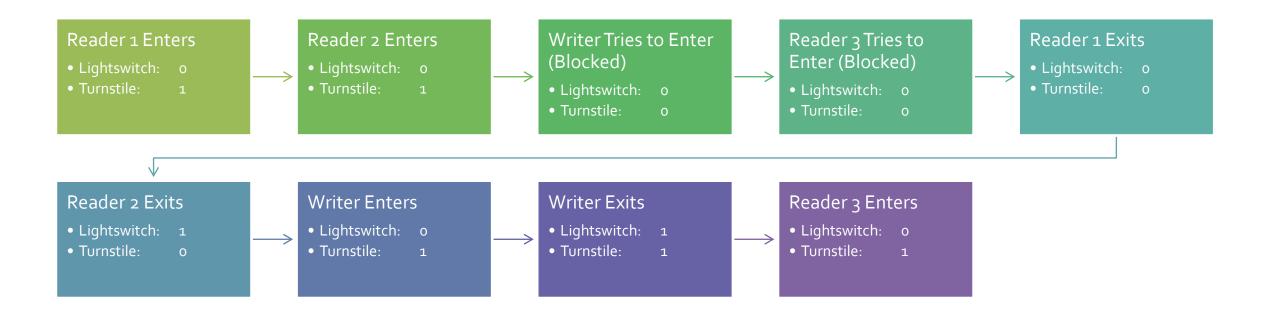


Second solution: Add a turnstile

- We add a turnstile for the readers
 - They pass through without any problem at first
- When a writer wants to write, it waits on the reader semaphore
- This blocks any new readers from entering

Illustration of second solution

- The system starts off with its two semaphores having the following values:
 - Lightswitch: 1
 - Turnstile:



Search-insert-delete problem

- The readers-writers problem can be extended to a problem with the following characteristics:
 - Searchers are searching for data (similar to regular readers)
 - Inserters are a kind of writer that only adds data
 - Deleters are a kind of writer that only removes data
- Rules:
 - Searchers can be concurrent with each other and an inserter
 - Inserters can be concurrent with searchers, but there can only be one inserter at a time
 - Deleters must be mutually exclusive with everyone
- You can imagine a version of this problem for concurrent accesses to databases

Search-insert-delete solution

- Searchers use a lightswitch as before
- Inserters use their own lightswitch but also have a lock to prevent concurrent insertions with each other
- Deleters must wait on both lightswitches
- This solution works because a deleter can enter only when there are no searchers or inserters

Issues with this solution

- Like our first solution for readers-writers, deleters can be starved if searchers or inserters continue to arrive
 - Never getting to run is called starvation
- We could increase fairness for this solution by adding turnstiles as well
 - One turnstile semaphore could be shared by all searcher and inserters
 - When a deleter comes along, it waits on the turnstile, blocking all new searchers and inserters from entering
 - When a deleter gets access to the critical section, it posts on the turnstile, allowing all waiting threads to get to their respective lightswitches

Dining Philosophers

Dining philosophers

- A classic problem illustrating the difficulties of concurrency is the dining philosophers problem
- Some number of philosophers sit at a round table and only do two things:
 - Think
 - Eat rice
- In order to eat rice, they have to pick up two chopsticks, one on the left and one on the right
 - The book has them eat with forks, but chopsticks make more sense for the problem
 - You can eat rice with one fork, but you can't eat rice with one chopstick
 - Critically important: The numbers of chopsticks and philosophers are equal



The problem

- We have to enforce mutual exclusion for the chopsticks
- Two philosophers can't hold onto the same chopstick at the same time
- It's unpredictable when each philosopher is going to finish thinking and start eating
- We need a solution that works no matter what



A solution with deadlock

- Let's say there are SIZE philosophers (and SIZE chopsticks)
- We can create SIZE locks, one for each chopstick
- Then, each philosopher will acquire the lock for her left chopstick followed by the lock for her right chopstick
- In the following code, self is the index of the philosopher

Why it has deadlock

- Imagine that every philosopher picks up her left chopstick at the same moment
- Now, each will wait for another one to give up what would be their right chopstick...forever
- We have the four conditions for deadlock:
 - **Mutual exclusion:** Only one philosopher can hold the lock for a chopstick
 - Hold-and-wait: Each philosopher acquires chopstick and tries to get another
 - No preemption: No philosopher can force another to give up her chopstick
 - Circular wait: Under the right circumstances, every philosopher can be waiting for every other in a circle

Solution by limiting access

- One solution is to add a semaphore initialized to SIZE 1
- Then, only SIZE 1 philosophers could try to grab a chopstick

Solution by breaking hold and wait

- In our example, the philosopher gets the first chopstick and immediately tries to get the second
- In real situations, some work might need to get done between acquiring resources
- To avoid delays, it might be desirable to instead get a chopstick and then try to get the second, releasing the first if that fails

```
while (! success)
{
    pthread_mutex_lock (args->locks[self]); // Pick up left chopstick
    // Perform some work
    // Then, try to get the right chopstick
    if (pthread_mutex_trylock (args->locks[next]) != 0)
    {
        // Undo current progress
        pthread_mutex_unlock (args->locks[self]); // Put down left chopstick
        }
    else
        success = true;
    }
}
```

Solution by imposing order

- We can break the circular wait condition with a clever ordering
- If every philosopher picks up her left chopstick at the same time, we're stuck
- But what if exactly one picked up her right chopstick first?
 - Deadlock would become impossible!

Distributed Computing

Parallelism vs. concurrency

- Although a lot of computation involves both parallelism and concurrency, they're two different things
- Concurrency means that tasks can interact with each other
- **Parallelism** means that two tasks are running at the same time
- You can have concurrency without parallelism
 - Example: A multi-threaded program on a single-core system, which can still have race conditions
- You can have parallelism without concurrency
 - Example: Programs running on separate cores or processors that are computing part of a larger answer without coordination

Task parallelism and data parallelism

There are two fundamental kinds of parallelism that are possible

Task parallelism

- Breaking up a problem into subtasks that can be run in parallel
- Example: Alice cooks dinner, Bob cleans the house, and Catherine gets vengeance on their enemies

Data parallelism

- Doing the same tasks in parallel but on different data
- Alice, Bob, and Catherine each chop up 1/3 of the total amount of carrots for a soup

Embarrassingly parallel

- The easiest kind of problems to parallelize are called embarrassingly parallel
 - Maybe there are many unrelated tasks that all need to get done
 - Maybe there's lots of data to process, and no coordination is necessary to process it
- The following code shows an embarrassingly parallel problem, since initializing the array could easily be divided up among many tasks

```
for (int i = 0; i < 10000000; ++i)
array[i] = i * i;</pre>
```

Divide-and-conquer

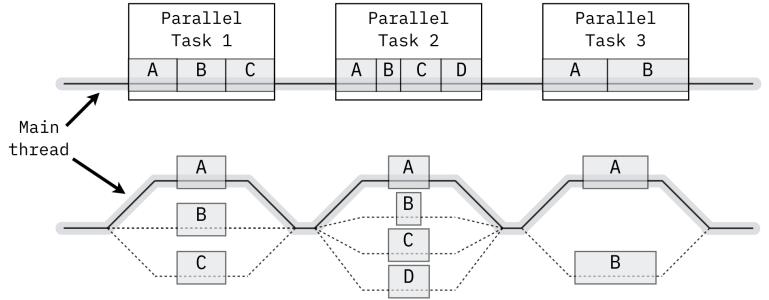
- Algorithms themselves can suggest approaches for parallelism
- Divide-and-conquer algorithms divide problems into parts, find answers for the sub-problems, and then combine those answers into an overall solution
 - Quicksort partitions into two subarrays and then recursively sorts
 - Merge sort also divides and recursively sorts
- As discussed in COMP 4500, many important algorithms have a divide-and-conquer shape, and it's often possible to let each divided task be handled by a separate thread



- The idea of a pipeline is to divide a task into independent steps, each of which can be performed by dedicated hardware or software
- Example RISC pipeline:
 - 1. Instruction fetch
 - 2. Decode
 - 3. Execute
 - 4. Memory Access
 - 5. Writeback

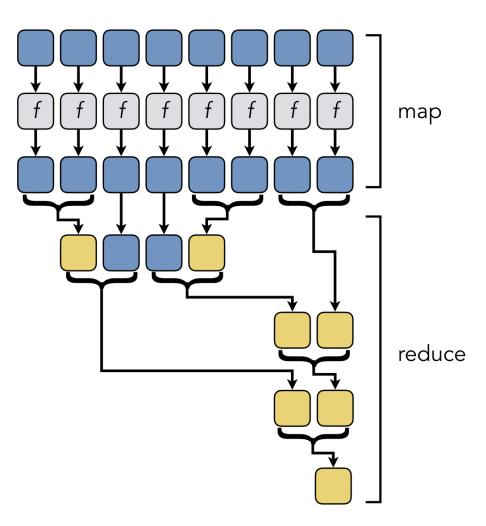


- The fork/join pattern uses a main thread that spawns additional threads when there are parallel tasks to be done
- After those tasks complete, the main thread joins the spawned threads
- A fork/join pattern could be used for either task parallelization or data parallelization



Map/reduce

- Map/reduce is similar to fork/join
- The biggest difference is a philosophical one about how the work is described
- Map/reduce has two stages:
 - Map applies a function to each piece of input data
 - Reduce combines the results to get a final answer
- Map/reduce is commonly used on clusters and distributed systems
 - The open source Apache Hadoop is a popular tool for map/reduce computing



Manager/worker

- The manager/worker thread pattern is commonly used with task parallelism
- Independent tasks are given to work threads that communicate with a central management thread
 - Event handling, for example, can be viewed as manager/worker
 - Workers can also wait for a data value to change from **NULL**, as in the code below

```
void * worker (struct args * _args)
{
    struct args *args = (struct args *) _args;
    pthread mutex_lock (args->lock);
    while (true)
        {
            while (args->data == NULL) // Wait for data
            pthread_cond_wait (args->data_received, args->lock);
            if (! args->running) pthread_exit (NULL);
                // Process data
        }
}
```

Thread pools

- Rather than worrying about creating too many threads initially or dynamically creating threads, one approach is a thread pool
 - A thread pool is a fixed number of threads with a queue of tasks
 - When a thread finishes its work, it can dequeue a new task
- Thread pools advantages:
 - The cost of creating threads is only paid once
 - Resource consumption is more predictable because there won't suddenly be a lot more threads
 - Each thread self-manages the load by getting more work when it finishes
- Thread pools disadvantages:
 - Cache performance can be poor because there's no coordination between which thread is doing what
 - Crashes and errors can be hard to recover from since we won't know which thread was doing the thing that failed
 - Managing the task queue requires synchronization that could slow things down

Limits of Parallelism

Speedup

- Speedup is how much faster a parallel solution is compared to a sequential one
- The formula is $\frac{T_{sequential}}{T_{parallel}}$
 - T_{sequential} is the amount of time the sequential solution takes
 - T_{parallel} is the amount of time the parallel solution takes
- Thus, if a sequential solution to a problem takes 100 seconds, and the parallel solution takes 50 seconds, the speedup is 2

Amdahl's Law and strong scaling

- What if you had 16 cores? Or 1,000 cores? Or a million?
- How much speedup can you get?
- Some part of the program has to be executed sequentially
 - Reading input
 - Starting threads
 - Combining results
- Amdahl's law says that the maximum speedup possible is $\frac{1}{(1-p)+\frac{p}{N}}$
 - p is the fraction of a program that can be parallel
 - *N* is the number of processors

Consequences of Amdahl's law

- What if we had unlimited cores?
- We can take the equation $\frac{1}{(1-p)+\frac{p}{N}}$ and plug in ∞ for N
- Doing so would mean, even with infinite cores, we could never have better speedup than $\frac{1}{(1-p)}$
- Let's say that 90% of a program can be parallelized
- What's the maximum possible speedup you can get?

•
$$S = \frac{1}{(1-p)} = \frac{1}{(1-.9)} = \frac{1}{.1} = 10$$

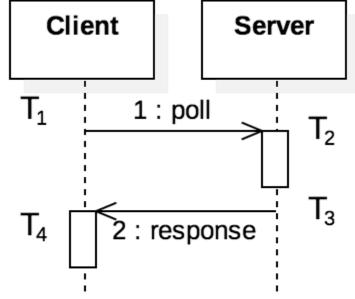
Timing in Distributed Environments

Timing in distributed environments

- When working on a single computer, there's only one clock
- Thus, multiple threads can use this clock to record events in a mutually consistent way
 - Like adding timestamps to log files
- Distributed systems don't have a single, reliable clock
 - Each computer might have a slightly (or completely) different time
 - Clocks on each computer drift with respect to each other
 - These problems get worse as distance (and network delays) increase

Clock synchronization

- We can synchronize clocks based on a centralized server
- A problem is that the time a message takes in the network is unpredictable
- Network Time Protocol (NTP) is a protocol to do this:
 - Client sends a message at T₁
 - Server receives the message at T₂
 - Server replies at T₃
- Client receives the message at T_4 Offset = $\frac{(T_2 T_1) + (T_3 T_4)}{2}$
- - The offset is a measurement of the difference in times between the client and server
- Delay = $(T_4 T_1) (T_3 T_2)$
 - The delay is a measurement of how long it takes for the messages to make a round trip
- Algorithms process a number of offset and delay values to try to find the most accurate offset

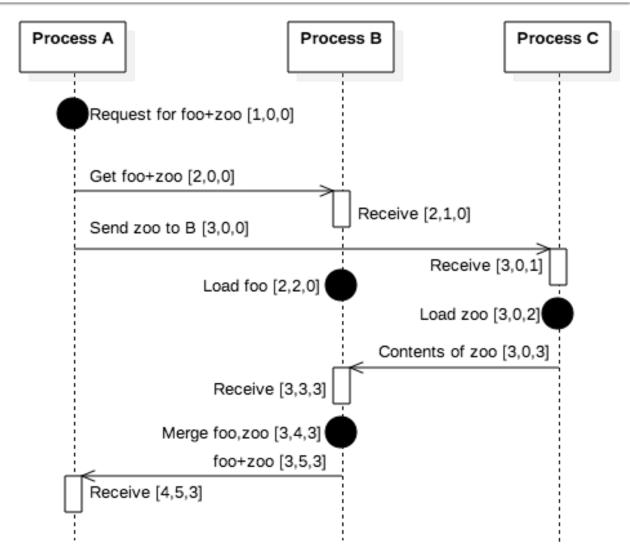


Lamport timestamps

- Logical clocks are an alternative to using exact times, using messages to track the order of events
- Lamport timestamps are a way to implement logical clocks
- Each process keeps an internal counter of events that it sees
 - When a local event occurs, the counter is incremented
 - When a process sends or receives a message, it increments its counter
- Messages have timestamps
 - When a process receives a message, it updates its internal counter to the message's timestamp if that timestamp is larger

Vector clocks

- Lamport timestamps only give indirect information about the state of other processes
- Vector clocks extend the idea of Lamport timestamps by making every process keep a counter for every process
- When a message from one process arrives, the receiving process can update all of its counters based on whatever is larger
- Vector clocks give much more information about how many events have been experienced by other processes



Reliable Storage and Location

Reliable data storage

- If you want to get a file from a web server, you can go to a URL and make an HTTP request
- Unfortunately, if that server is down or unreachable, you can't get the file
- For this reason, distributed systems are often used to store data
- A key feature of distributed data storage is replication, keeping multiple copies of the same data
 - Replication avoids a single point of failure
 - If done correctly, replication can also do load balancing, improving performance by providing multiple sources for data

Google File System

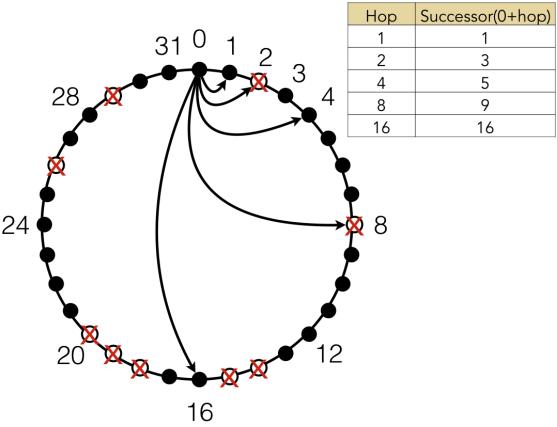
- The Google File System (GFS) is a distributed storage system
- GFS was designed to store Google's internal data, like the data structures used for PageRank
- Files are often large, so they're broken into chunks
- Chunks are stored on chunkservers as regular files
- A master server stores a table mapping files chunks to their locations

Distributed hash tables

- GFS was designed by Google for its own purposes
 - It uses a central server
 - Servers keep information about each other
- What if we have no idea what servers are going to be in the network?
- Distributed hash tables (DHT) are an approach for mapping arbitrary objects to arbitrary servers
- DHTs are a way to organize a peer-to-peer network to avoid query flooding

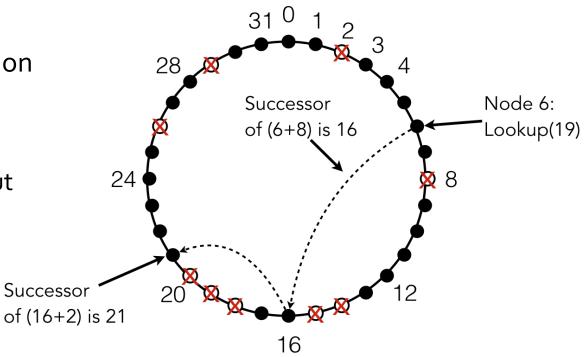
Chord DHT

- Chord was one of the first algorithms for a DHT, introduced in 2001
- Each node has a unique identifier (often its IP address) that's hashed to provide a location in a circle
 - If the hash is *n* bits long, the DHT can support up to 2ⁿ nodes
- Most locations in the circle are empty
- Each node has a "finger table," tracking successor elements in increasing powers of 2 away on the circle
 - If the power of 2 node is missing, it tracks the next non-missing node
- The example on the right is only for 2⁵ = 32 nodes



Files in Chord DHT

- When a file is added, it's hashed
- Whichever node has that hash value (or is its successor) is the location of that file
- On the right, node 6 is looking for a file at location 19 (the successor of 18)
 - It looks at 6 + 8 = 14, which doesn't exist but has a successor of 16
 - Then it looks at 16 + 2 = 18, which doesn't exist but has a successor of 21
 - Node 21 is where the file is supposed to be
- The details get a little more complex, but the practical result is that a file can be found with O(log n) requests, where n is the size of the network
- Replication is done by caching files at nodes that were part of the lookup to find the file



Consensus in Distributed Systems

Consensus

- Reaching consensus is the goal of many distributed protocols
- To reach consensus, a protocol must have three properties:
 - Termination: Every correct (non-failing) process will eventually decide on a value
 - Integrity: If every correct process proposes the same value, any correct process must decide that value
 - Agreement: All correct processes decide the same value
- Examples
 - In GFS, a consensus protocol could tell any node whether a particular file was on a particular node
 - In NTP, nodes will be able to agree on synchronized time

Failure

- However, processes do fail in distributed systems
- Failure could mean making some error, crashing, going into an infinite loop, or losing connection to the network
 - Processes could even be malicious, trying to undermine the system
- Even in the face of (many?) failures, we'd like the distributed system to reach consensus
- A common analogy used to describe this problem is the Byzantine generals problem

Byzantine generals

- A version of the Byzantine generals problem imagines:
 - One general is the commander who decides what to do
 - The other two are lieutenants who check with each other to make sure that they got the same message from the commander
- What if there's one bad general?
 - A bad commander could send retreat to one lieutenant and attack to the other
 - A bad lieutenant could receive attack from the commander but send retreat to the other lieutenant
 - A good lieutenant couldn't distinguish between those two situations

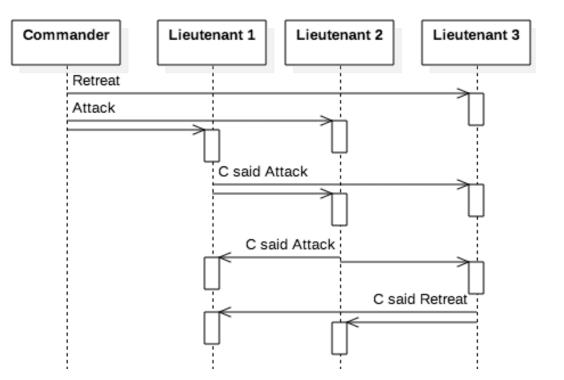
Limits on consensus

Consensus is hard

- Failing processes can mess things up for correct processes
- Because there's limited information, a process can appear to be correct to one part of the system and failing to another
- A **Byzantine failure** is exactly this kind
 - There's conflicting information, and it's impossible to determine what's reliable

The 1/3 limit

- It's not an accident that the number of generals chosen is three
- If strictly less than 1/3 of the nodes are failing, it's possible to achieve consensus
- If we extend the problem to four generals (three lieutenants), then generals who are working can decide on a consensus using majority rule
- Even a bad commander who issues confusing orders won't mess up the system
- However, knowing what the consensus is doesn't tell us which nodes are failing
- Also, this 1/3 limit depends on synchronous communication



Blockchains

- Blockchains are a form of distributed ledger
- Unlike banks, which are centralized authorities for transactions that have occurred at the banks, blockchains try to record transactions in a distributed way with *no* central authority
- Although similar ideas existed before, blockchains as we know them were invented by Satoshi Nakamoto (real identity unknown) in 2008
- The original blockchain idea was intended to keep track of bitcoin transactions
- Now, most cryptocurrencies use some form of blockchain to track transactions

Double-spending

- Blockchains are distributed systems that can be used to record almost anything
- But their use has been dominated by cryptocurrency
- A central problem that any digital money faces is doublespending
 - What stops someone from spending a digital token more than once?
- Transactions are recorded in blockchains
- Two competing blockchains could record different transactions, but the longer chain is considered the valid one

Proof-of-work

- Blockchains are built by recording transactions along with other data that hashes in a specified pattern
 - Usually, a hash value with a certain number of zeroes at the beginning
- It's easy to check that the transaction has the right hash value
- But it's computationally difficult to generate data that has a hash with a certain number of zeroes at the beginning
- And that's what mining is: Trying random strings until something has the right hash value
- Since a large number of strings will have the right hash value, an entity with more than 50% of the computational power working on a blockchain network could outpace everyone else writing transactions, taking control of it

Libraries You Should Know

String manipulation

- String manipulation is annoying but necessary in C
- You should be able to use the following functions:
 - char *strcat(char *dest, const char *src)
 - Appends the string **src** to the end of the string pointed **dest**
 - char *strncat(char *dest, const char *src, size_t n)
 - Appends the string src to the end of the string dest, up to n characters
 - char *strchr(const char *str, int c)
 - Searches for the first occurrence of the character c (an unsigned char) in the string str
 - int strcmp(const char *str1, const char
 *str2)
 - Compares strings str1 and str2
 - int strncmp(const char *str1, const char *str2, size_t n)
 - Compares at most the first n bytes of strings str1 and str2

- char *strcpy(char *dest, const char *src)
 - Copies the string src into dest
- char *strncpy(char *dest, const char *src, size_t n)
 - Copies up to n characters from the string src into dest
- size_t strlen(const char *str)
 Computes the length of the string str
- char *strstr(const char *haystack, const char *needle)
 - Finds the first occurrence of string needle in the string haystack
- char *strtok(char *str, const char *delim)
 - Breaks string str into a series of tokens separated by delim

File I/O

- File I/O is the key abstraction for all I/O in Linux
- So you should be able to use the following functions:
 - int open (char *path, int flags, int perms)
 - Open the file specified by **path**
 - Possible flags: O_RDONLY, O_WRONLY, O_RDWR, O_CREAT, O_APPEND, O_TRUNC
 - Permissions: Only needed when creating a file, and octal values are the easiest
 - int close(int fd)
 - Close the file given by file descriptor **fd**
 - int read (int fd, char *buffer, int size)
 - Read from file descriptor fd into buffer a maximum of size bytes
 - Returns the number of bytes successfully read
 - int write(int fd, char *buffer, int size)
 - Write from **buffer** into file descriptor **fd** a maximum of **size** bytes
 - Returns the number of bytes successfully written

Process management functions

- You should know these pretty well:
 - pid_t fork (void)
 - Fork a new version of the current process at exactly the same point in the program
 - int execl(char *path, char *arg0, ..., NULL)
 - int execle(char *path, char *arg0, ..., NULL, char* envp[])
 - int execlp(char *file, char *arg0, ..., NULL)
 - int execv(char *path, char *argv[])
 - int execve(char *path, char *argv[], char *envp[])
 - int execvp(char *file, char *argv[])
 - Execute a process (replacing the current process) with the given arguments and environment variables
 - pid_t wait(int *stat_loc)
 - Wait for all child processes to finish
 - int pipe(int pipefd[2])
 - Create a pipe where pipefd[0] is the reading end of the pipe and pipefd[1] is the writing end
 - int dup2(int actual, int replaced)
 - All reads from and writes to replaced will actually be read from or written to actual

Networking

- You don't need to have these functions memorized, but you should be familiar enough to read them in code and understand them
 - int socket (int domain, int type, int protocol)
 - Create a socket, which will work like a file descriptor
 - int bind (int socket, const struct sockaddr *address, socklen_t address_len)
 - Bind the socket to a port
 - int listen (int socket, int backlog)
 - Set up the socket for listening
 - int accept (int socket, struct sockaddr *address, socklen_t
 *address_len)
 - Accept an incoming connection
 - int connect (int socket, const struct sockaddr *address, socklen_t address_len)
 - Connect to a listening socket

Threads

- You don't need to have these functions memorized, but you should be familiar enough to read them in code and understand them:
 - int pthread_create (pthread_t *thread, const
 pthread_attr_t *attr, void(*start_routine)(void*),
 void *arg)
 - Create a new thread
 - void pthread_exit (void *value_ptr)
 - Exit from the current thread, possibly returning a result
 - void pthread_join (pthread_t thread, void *value_ptr)
 - Wait for a thread to end (getting a pointer to its result, if any)

Synchronization

- You don't need to have these functions memorized, but you should be familiar enough to read them in code and understand them:
 - sem_t *sem_open (const char *name, int flag,
 - /* mode_t mode, unsigned int value */)
 - Return (and possibly create) a named semaphore, using the usual **flag** and **mode** constants
 - **value** determines the initial value of the semaphore (often o)
 - int sem_wait (sem_t *sem)
 - Block if the semaphore's value is o, decrement after continuing
 - int sem_post (sem_t *sem)
 - Increment the semaphore's value, unblocking a process if the value is o
 - - Create a mutex with the specified attributes
 - int pthread_mutex_lock (pthread_mutex_t *mutex)
 - Acquire a mutex, blocking until you succeed
 - int pthread_mutex_unlock (pthread_mutex_t *mutex)
 - Release the mutex

Upcoming



There is no next time!

Reminders

- Finish Assignment 8
 - Due tonight before midnight!
- Study for the final exam:
 - Wednesday, April 30, 2025
 - 8:00 10:00 a.m.